



Attorney Docket No.: 01CON346P
Serial No.: 09/761,033

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yang Gao	Group Art Unit: 2655
Application Serial No.: 09/761,033	Examiner: Jackson, Jakieda R.
Filed: January 16, 2001	
Title: System for an Adaptive Excitation Pattern for Speech Coding	

AMENDMENT AND RESPONSE

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Technology Center 2600

Dear Madam/Sir:

This Amendment and Response is submitted in response to the final Office Action, dated May 28, 2004, in the above-referenced patent application. Please enter and consider the following amendments and remarks.



AF2655
61

PTO/SB/21 (05-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/761,033
		Filing Date	1/16/2001
		First Named Inventor	Yang Gao
		Art Unit	2655
		Examiner Name	Jackson, Jakieda R.
Total Number of Pages in This Submission	12	Attorney Docket Number	01CON346P

ENCLOSURES <i>(check all that apply)</i>			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Remarks	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> 1. Postcard	
			<input type="checkbox"/>

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Farshad Farjam, Esq., Reg. No. 41,014 Farjam & Farjam LLP
Signature	
Date	July 9, 2004

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Type or printed	Susan Hanson	
Signature		Date July 9, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$0.00)

Complete if Known

Application Number 09/761,033

Filing Date 1/16/2001

First Named Inventor Yang Gao

Examiner Name Jackson, Jakieda R.

Art Unit 2655

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METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None Deposit AccountDeposit Account Number
50-0731Deposit Account Name
Farjam & Farjam LLP

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	

1251 110 2251 55 Extension for reply within first month

1252 420 2252 210 Extension for reply within second month

1253 950 2253 475 Extension for reply within third month

1254 1,480 2254 740 Extension for reply within fourth month

1255 2,010 2255 1,005 Extension for reply within fifth month

1401 330 2401 165 Notice of Appeal

1402 330 2402 165 Filing a brief in support of an appeal

1403 290 2403 145 Request for oral hearing

1451 1,510 1451 1,510 Petition to institute a public use proceeding

1452 110 2452 55 Petition to revive - unavoidable

1453 1,330 2453 665 Petition to revive - unintentional

1501 1,330 2501 665 Utility issue fee (or reissue)

1502 480 2502 240 Design issue fee

1503 640 2503 320 Plant issue fee

1460 130 1460 130 Petitions to the Commissioner

1807 50 1807 50 Processing fee under 37 CFR 1.17(q)

1806 180 1806 180 Submission of Information Disclosure Stmt

8021 40 8021 40 Recording each patent assignment per property (times number of properties)

1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))

1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))

1801 770 2801 385 Request for Continued Examination (RCE)

1802 900 1802 900 Request for expedited examination of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

SUBMITTED BY

Complete if applicable

Name (Print/Type)	Farshad Farjam, Esq.	Registration No. (Attorney/Agent)	41,014	Telephone	(949) 282-1000
Signature				Date	7/18/04

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